

04-16-01

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**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

**CERTIFICATE OF EXPRESS MAILING**

Attorney Docket No. **41556/04005/RS11P101**

First Named Inventor:

**HOFFMAN, George H.**

Transmittal and the documents and/or fees itemized hereon and attached hereto have been deposited as "Express Mail Post Office to Addressee" in accordance with 37 CFR §1.10 with Mailing Label

Number **EL815206691US**

**UTILITY PATENT APPLICATION TRANSMITTAL (37 CFR § 1.53(b))**

Assistant Commissioner for Patents  
Box Patent Application  
Washington, DC 20231

☐ Duplicate for  
fee processing

Sir: This is a request for filing a patent application (Small Entity) under 37 CFR § 1.53(b) in the name of inventors:

**George H. Hoffman; Michael J. Burk; Anthony F. Menninger; Edward A. Greene and Mark A. Smith**

For: **SYSTEM, METHOD AND COMPUTER PROGRAM PRODUCT FOR GOVERNING A  
SUPPLY CHAIN CONSORTIUM IN A SUPPLY CHAIN MANAGEMENT FRAMEWORK**

Application Elements:

- ☒ 376 Pages of Specification, Claims and Abstract  
☒ 195 Sheets of Drawings  
☐ Pages Combined Declaration and Power of Attorney

Accompanying Application Parts:

- ☐ Assignment and Assignment Recordation Cover Sheet (recording fee of **\$40.00** enclosed)  
☐ 37 CFR 3.73(b) Statement by Assignee  
☐ Information Disclosure Statement with Form PTO-1449  
☐ Copies of IDS Citations  
☐ Preliminary Amendment  
☒ Return Receipt Postcard  
☐ Small Entity Statement(s)  
☐ Request and Certification under 35 U.S.C. 122(b)(2)(B)(i)  
☐ Other:

Fee Calculation (37 CFR § 1.16)

	(Col. 1)	(Col. 2)	SMALL ENTITY	OR	LARGE ENTITY
	NO. FILED	NO. EXTRA	RATE	FEE	RATE FEE
BASIC FEE			\$355	\$355.00	OR \$710 \$
TOTAL CLAIMS	<u>20</u>	-20 = <u>00</u>	x09 = \$	OR	x18 = \$
INDEP CLAIMS	<u>03</u>	-03 = <u>00</u>	x40 = \$	OR	x80 = \$
[ ] Multiple Dependent Claim Presented			\$135 = \$	OR	\$270 = \$
* If the difference in Col. 1 is less than zero, enter "0" in Col. 2.			Total	<b><u>\$355.00</u></b>	OR Total <b><u>\$</u></b>

☒ Check No. 5044 in the amount of **\$355.00** is enclosed.

☐ No check enclosed, please charge Deposit Account No. 03-0683

☒ The Commissioner is authorized to charge any fees beyond the amount enclosed which may be required, or to credit any overpayment, to Deposit Account No. 03-0683 (Order No. 41556/04005/RSI1P101).

General Authorization for Petition for Extension of Time (37 CFR §1.136)

☒ Applicants hereby make and generally authorize any Petitions for Extensions of Time as may be needed for any subsequent filings. The Commissioner is also authorized to charge any extension fees under 37 CFR §1.17 as may be needed to Deposit Account No. 03-0683 (Order No. 41556/04005/RSI1P101).


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